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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

# **NEW UTILITY PATENT APPLICATION TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR 1.53(b))

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE					
Attorney Docket Number	22593-06028	PTO			
First Named Inventor	Arman Glodjo	S.			
Title	Global Electronic Trading System	02 U			
Express Mail Label No.	EL ECCONOCIOLO	9			

		=xpress iviair	Label No.	EL566298053US	L C		
	ATION ELEMENTS	AC	COMPANY	ING APPLICAT	ION PARTS		
. —	l Form (in duplicate)	7. 🛛	Assignment F	Papers (cover sheet	& document(s))		
2. Applicant claim See 37 CFR 1	ns small entity status. .27	8.	Certified Cop	y of Priority Docume ned)	nt(s) (if foreign priority		
3. Specification	Total Pages 82	9.	Power of Atto	omey or Authorizatio	n of Agent		
(preferred arrangement Descriptive Title Cross Reference Statement Regal Background of the Brief Summary Brief Description Claim or Claims Claim or Claims Abstract of the the Abstract of the Abstrac	set forth below) e of the Invention e(s) to Related Case(s) arding Fed sponsored R & D the Invention of the Invention n of the Drawing(s) ption s Disclosure U.S.C. Total Sheets 31	10.	Preliminary A Information E (b)(2) PTO/S Return Pos Petition to A § 1.84(a)(2)	(b) Statement Amendment Disclosure Statement Copies of IDS Citation on Request under 35 (B)(i). Applicant mus SB/35 or its equivale stcard Accept Color Drawing	& PTO-1449 n(s) U.S.C. 122 t attach form nt		
6. Application Data	a Sheet. See 37 CFR 1.76		ADDRES	S TO:			
			Commi	tent Application ssioner for Patents igton, D.C. 20231			
	PPLICATION, check appropriate box and	supply the requ	isite information	below and in a prelimin	ary amendment or in an		
Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No:/  Prior application information: Examiner: Group/Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under							
	of the disclosure of the accompanying continued upon when a portion has been inadverted				by reference. The		
19. CORRESPONDENCE ADDRESS							
Customer Number and Bar Code Label 00758							
Name (Print/Type) Ed	ward J. Radlo	R	egistration No	. (Attorney/Agent)	26,793		
Signature	Edle of	01-	<del></del>	Date 1	mc-7 2001		





PTO/SB/17 (10-01)(modified)
OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001 U.S. Department of Commerce Patent and Trademark Office

## **FEE TRANSMITTAL**

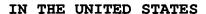
### **TOTAL AMOUNT OF PAYMENT**

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$) 2.212.00

Tatemand Trademark Office: 0.0. DET ATTIMENT OF COMMENCE				
Complete if Known				
Application Number	not yet known			
Filing Date	November 7, 2001			
First Named Inventor	Arman Glodjo			
Group Art Unit	not yet known			
Examiner Name	not yet known			
Attomey Docket Number	22593-06028			

(4) = 1.2.00								
METHOD OF PAYMENT			<u> </u>	FEE CAI	LCULATION (continue	ed)		
1. The Commissioner is hereby authorized to:			3. ADDITIONA	AL FEES				
□ Charge the indicated fees to the below mentioned deposit account. □ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description		Fee Due		
		105/ <b>\$130</b>	205/\$65	Surcharge - late filing fee or oath				
		127/\$50	227/ <b>\$2</b> 5	Surcharge-late provisional fi	ling fee or cover sheet			
		·	una. I	147/\$2,520	147/ <b>\$2,520</b>	For filing a request for reexamination		
Applicant claims small entity status See 37 CFR 1.27			115/ <b>\$110</b>	215/ <b>\$55</b>	Extension for response within	in first month†		
		116/ <b>\$400</b>	216/ <b>\$200</b>	Extension for response within	in second month†			
	ccount Number: 19-		LLP	117/\$920	217/\$460	Extension for response within		
, A Duplica	te Copy of this autho	orization is atta	ched	118/\$1,440	218/\$720	Extension for response within		
<b>(2)</b> 🛛	Payment Enclose	ed:		128/ <b>\$1,960</b>	228/ <b>\$980</b>	Extension for response within	in fifth monthT	
(3			] Other	119/ <b>\$320</b>	219/ <b>\$160</b>	Notice of Appeal "		
	CALCULATION (INCREMENT OF CONTROL (INCREMENT	fees effective 10	7/01/2001) Fee	141/ <b>\$1,28</b> 0	241/ <b>\$640</b>	Petition to revive unintention application	ally abandoned	
Fee Code/Fee	Fee Code/Fee	Description	Due	142/ <b>\$1,280</b>	242/ <b>\$640</b>	Utility Issue Fee (Or Reissue	e)	
101/\$740	201/\$370	Utility Filing	740	143/ <b>\$460</b>	243/ <b>\$230</b>	Design Issue Fee		
106/\$330	206/\$165	Design Filing		122/\$130	122/\$130	Petitions to Accept Color Dra	awings	130
[add , _108/\$740	208/ <b>\$370</b>	Reissue		126/\$180	126/\$180	Submission of Information D	isclosure Statement	
==114/\$180	0 214/\$80	Provisional		179/ <b>\$740</b>	279/ <b>\$370</b>	Request for Continued Exam	, ,	
*		Filing	40	581/ <b>\$40</b>	581/ <b>\$40</b>	Recording each patent assig (times number of properties)		40
SUBTOTAL (1) (\$) 740		146/ <b>\$740</b>	246/ <b>\$370</b>	Filing a submission after fina (37 CFR 1.129(a))	al rejection			
Large Ent Fee Code/F		Fee Description	ion	149/ <b>\$740</b>	249/ <b>\$370</b>	For each additional invention (37 CFR 1.129(b))	n tọ be examined	
103/\$18	203/ <b>\$9</b>	Claims in exc	ess of 20		Other fee (specify):			
102/\$84	202/ <b>\$42</b>	Independent of in excess of 3			Other fee (specify):			
104/\$280	204/\$140	Multiple depe	endent claim			SUE	STOTAL (3) (\$) 1	70
109/\$84	209/\$42	Reissue inder claims over o	pendent niginal patent	(Col. 1) No. of For Existing	(Col. 2) Highest Previou		Fee	Fee
110/\$18	210/\$9	Reissue daim of 20 and ov patent		TOTAL 55	minus* 20 or 0	= 35	x 18 =	630
,		patent		INDEP 11	minus* 3 or 0 entation of multiple depend	<del></del>	x 84 = =	672
Ĺ	* Subtract the greater number of Col. 2 SUBTOTAL (2) (\$) 1302  ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3							
SUBMITTI			<del></del>			Complete (if ap	plicable)	
Typed or F	Printed Name E	dward J. Rac	dlo	$\rho U$		Reg. Number	26,793	
Signature	Edu	and	Mac	ell		Date	Nov-7	200/
			V			2	2593/06028/DOCS/1:	220903.1





#### PATENT AND TRADEMARK OFFICE

APPLICANTS:

Arman Glodjo, Nathan D. Bronson, and Scott E.

Harrington

APPLICATION NO.:

not yet known

FILING DATE:

November 7, 2001

TITLE:

Global Electronic Trading System

**EXAMINER:** 

not yet known

GROUP ART UNIT:

not yet known

ATTY. DKT. NO.:

22593-06028

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated:

Nov- 7, 200

Edward J. Radlo, Reg. No.: 26,793

BOX PATENT APPLICATION COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

# PETITION TO ACCEPT COLOR DRAWINGS UNDER 37 CFR §1.84(a)(2)

SIR:

Applicants hereby petition the United States Patent and Trademark Office to accept color drawings (Figures 13 through 22) in the enclosed utility application. Applicants respectfully submit that the use of color drawings is necessary to a complete understanding of the invention, because the color drawings represent computer screen shots seen by an agent using the invention, the computer screen shots actually seen by the agent





are in color, and color is necessary to distinguish among the many different items that are displayed on the computer screen.

Furthermore, several of the drawings show flags of various countries, including the flag of the United States of America. It would be disrespectful if we had to show the flag of the United States in black and white, rather than in red, white, and blue.

Enclosed herewith are:

- 1) The \$130.00 fee set forth in 37 CFR \$1.17(h).
- 2) Three sets of the drawings (Figs. 13-22) that are in color.
- 3) A black and white photocopy that accurately depicts, to the extent possible, the subject matter shown in the color drawings.
- 4) The specification as originally filed contains the language: "The file of this patent or application contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawings will be provided by the USPTO upon request and payment of the necessary fee".

Respectfully submitted,

dward Wall

date of signature:

Nov-7,2001

Edward J. Radlo Reg. No. 26,793

Attorney for Applicants

Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7142

enclosures